# Geriatric Assessment of the Portuguese Population Aged 65 and Over Living in the Community: The PEN-3S Study



## Avaliação Geriátrica da População Portuguesa Com 65 ou Mais Anos a Residir na Comunidade: Estudo PEN-3S

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#### ABSTRACT

Introduction: As populations live longer, they also aim to live better. A crucial step for this is to improve the understanding about older adults' physical and psychological health. Therefore, the aim of the present study was to characterise the Portuguese population over-65 regarding nutritional status, cognitive function, functional status, symptoms of depression, and loneliness, by sex and age groups. Material and Methods: Cross-sectional study including a nationally representative sample of community-dwelling adults aged 65 and over. Trained interviewers collected data face-to-face on demographic and socioeconomic characteristics, health status, nutritional status, cognitive function, functional status for activities of daily living, symptoms of depression, and loneliness feelings. Complex sample procedures were used in the statistical analysis.

**Results:** Overall, 1120 community-dwellers (49.0% women, 21.3% aged  $\geq$  85) participated in the study. The estimated prevalence of risk of malnutrition was 16.4% (95% confidence interval: 13.3 – 19.9), while 17.7% (95% confidence interval: 12.8 – 23.9) were cognitively impaired, and 28.5% (95% confidence interval: 23.7 – 33.8) presented limitations to perform daily living activities. Moreover, 23.5% (95% confidence interval: 19.7 – 27.7) presented symptoms of depression and 13.6% (95% confidence interval: 10.6 – 17.1) reported loneliness feelings. These conditions were more prevalent among women, and generally more frequent in the oldest individuals ( $\geq$  85). **Discussion:** Risk of malnutrition, cognitive impairment, functional limitations, depression and loneliness were moderately frequent, which may justify screening and preventive actions at a community level.

**Conclusion:** This study contributed to a national characterisation of the health of older adults, that may inform policies and interventions targeted at the needs of the Portuguese aging population.

Keywords: Activities of Daily Living; Aged; Aged, 80 and over; Depression; Geriatric Assessment; Loneliness; Portugal

#### RESUMO

Introdução: Com o aumento de longevidade importa também viver melhor. Um passo crucial neste sentido é aumentar o conhecimento sobre a saúde física e psicológica da população mais velha. O objetivo deste estudo foi caraterizar o estado nutricional, função cognitiva, estado funcional, sintomas de depressão, e solidão dos Portugueses com 65 ou mais anos, por sexo e grupo etário.

Material e Métodos: Estudo transversal incluindo uma amostra representativa nacional de indivíduos ≥ 65 anos a residir na comunidade. Entrevistadores treinados recolheram dados face-a-face sobre caraterísticas demográficas e socioeconómicas, estado de saúde, estado nutricional, função cognitiva, estado funcional (atividades instrumentais da vida diária), sintomas de depressão, e sentimentos de solidão. Procedimentos adequados a amostras complexas foram utilizados na análise estatística.

**Resultados:** No total participaram 1120 indivíduos (49,0% mulheres;  $21,3\% \ge 85$  anos). A prevalência estimada de risco de malnutrição foi 16,4% (intervalo de confiança: 95%: 13,3 – 19,9), enquanto que 17,7% (intervalo de confiança: 95%: 12,8 – 23,9) apresentavam função cognitiva comprometida, e 28,5% (intervalo de confiança: 95%: 23,7 – 33,8) tinham limitações para realizar atividades da vida diária. Adicionalmente, 23,5% (intervalo de confiança: 95%: 19,7 – 27,7) apresentavam sintomas de depressão e 13,6% (intervalo de confiança: 95%: 10,6 – 17,1) relatavam sentimentos de solidão. Estas condições eram mais prevalentes nas mulheres, e geralmente mais frequentes nos mais velhos ( $\ge$  85 anos).

**Discussão:** Risco de malnutrição, comprometimento cognitivo, limitações funcionais, depressão e solidão são moderadamente frequentes, justificando rastreios e ações preventivas de base comunitária.

**Conclusão:** Este estudo contribuiu para a caraterização da saúde dos Portugueses com mais de 65 anos, o que pode suportar políticas e intervenções dirigidas às necessidades da população mais velha.

Palavras-chave: Actividades da Vida Diária; Avaliação Geriátrica; Depressão; Idoso; Idoso com 80 anos ou mais; Portugal; Solidão

## INTRODUCTION

In 2017, 21.3% of the Portuguese population were 65 years old and over, the third highest proportion in the European Union-28.<sup>1</sup> This percentage has been rising in the last few years mainly due to lower rates of fertility and premature mortality, in addition to higher life expectancy.<sup>2</sup> Thus,

after decades of research and successful efforts to increase longevity, the major concern nowadays is to guarantee a healthy ageing, i.e. 'developing and maintaining the functional ability that enables well-being in older age'.<sup>3,4</sup>

Health and well-being in advanced age depend on

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physical and psychosocial modifications that occur with ageing. Additionally, health status is influenced by the consequences of prevalent diseases among older people (e.g. dementia, diabetes, osteoarthritis), due to the aforementioned underlying changes and to the life-course cumulative effect of different risk factors.<sup>4</sup> For example, muscle and bone mass tend to decrease with age, which alone may impact mobility, but also increase the risk of falls and fractures.5 Moreover, impairment of vision and hearing functions become more frequent, which may affect social interactions, learning and autonomy.6,7 There is also some decrease in memory capacity and speed of information processing, even in the absence of dementia.<sup>4</sup> All previously mentioned modifications may lead, for instance, to loss of autonomy and social isolation, which in turn may contribute to depression and/or cognitive decline.89 Briefly, the multiple clinical conditions that affect older persons are frequently cause and consequence of each other and interact to perpetuate a vicious circle that undermines functional ability and quality of life.4,9

Therefore, it is crucial to follow a multidimensional, nondisease specific model to assess the health of older adults, such as the Comprehensive Geriatric Assessment (CGA).<sup>9</sup> The main goal of this process is to improve the well-being of older adults through the identification of needs and conditions that are frequently under-reported by older people and may go under-recognised by caregivers and health professionals due to subtle or atypical clinical presentations.<sup>9,10</sup>

The structure and length of a geriatric assessment varies, but it generally evaluates physical health, functional status, psychological health, and social support. At the individual level, the assessment is usually followed by an intervention plan targeted at the identified needs, and by longterm follow-up.<sup>9–11</sup> At a population level, geriatric standard assessments are critical to characterise the health status of the older population in order to develop adequate public health policies and interventions.

Nevertheless, national representative data is scarce on most of the geriatric assessment dimensions. Such an epidemiological profile would also be relevant to clinical practice. It would inform healthcare professionals about the specific prevalence of common conditions among older adults, and how their patients' characteristics compare to their counterparts. Therefore, the main goal of the present study was to characterise the Portuguese population aged 65 and over living in the community in terms of the following dimensions: nutritional status, cognitive function, functional status, symptoms of depression, and loneliness feelings, by sex and age groups. These particular set of dimensions (except for loneliness) are part of the CGA proposed by the Portuguese Society of Internal Medicine.<sup>12</sup>

## MATERIAL AND METHODS

#### Study design and subjects

The present study followed a cross-sectional design. It included a nationally representative sample of communitydwelling older adults (aged 65 and over) living in Portugal that participated in the PEN-3S (acronym for Portuguese Elderly Nutritional Status Surveillance System) project, which is detailed elsewhere.<sup>13</sup> Sampling and data collection described here resulted from a collaboration with the National Food, Nutrition and Physical Activity Survey (IAN-AF) 2014–2016.<sup>14</sup> Briefly, a multistage approach sampling was followed. First, primary health care units were randomly selected, stratified by the seven NUTS II (nomenclature of Territorial Units for Statistics, as defined by the European Union), and weighted by the number of individuals registered in each unit. Second, registered individuals in each unit were randomly selected from the National Health Registry, according to sex and age group. Selected individuals were contacted by phone and letter and invited to participate in this survey. Individuals were considered non-eligible and excluded from this study if: living in collective residences or institutions (e.g., in hospitals, prisons, or military barracks); living in Portugal for less than one year; non-Portuguese speakers; not able to understand and/or answer the questionnaire due to dementia, psychiatric disease or severe hearing and/or visual impairment; bedridden. When it was not possible to contact selected subjects after all planned attempts, their eligibility was classified as 'unknown'. Interviews were scheduled with those who accepted to enrol. In total, 1120 individuals aged 65 and over from all seven Portuguese main regions (NUTS II) accepted to participate in this study. The participation rate, given as the ratio accepted/invited to enrol, was approximately 23%.

All procedures involving human subjects were approved by the National Data Protection Committee, Academic Medical Centre of Lisbon (Centro Académico de Medicina de Lisboa, CAML) Ethics committee, and all seven Regional Health Administrations Ethics committees.

#### Data collection procedures and instruments

Following written informed consent, trained nutritionists interviewed the subjects at the healthcare unit or, less frequently, at the participant's home. Data were collected faceto-face, from October 2015 to September 2016, through computer-assisted structured interviews, based on standard questionnaires followed by anthropometric measurements. Data collection included demographic and socioeconomic characteristics (sex, age, educational level, marital status and monthly income), self-reported clinical diagnoses, selfreported health status, nutritional status (anthropometry and Mini Nutritional Assessment), cognitive function, functional status for instrumental activities of daily living (IADLs), symptoms of depression, and loneliness feelings.

#### General health status

Concerning clinical diagnoses, participants were asked if they had any disease requiring regular healthcare, and also if they were ever diagnosed, by a physician, with any of the following (self-reported information): cardiac disease, cancer, diabetes type 2, hypertension, dyslipidaemia, gastrointestinal disease, arthritis, or osteoporosis. These questions had a 'yes/no' response format. Individuals were also asked to rate their own general health in a 5-point scale ranging from '1 – excellent' to '5 – very poor'.<sup>15</sup>

Anthropometric measurements followed the international standards for anthropometric assessment, as described elsewhere, and were performed with participants wearing minimal clothing and no shoes.<sup>13,14,16</sup> Weight and height were used to calculate body mass index (BMI = weight [kilograms, kg] / height [meters, m]<sup>2</sup>). When it was not possible to follow the aforementioned standards, hand length was determined and used to estimate height with the appropriate validated Portuguese equations.<sup>17</sup> BMI, arm and leg circumferences were employed to score the respective items in the Mini Nutritional Assessment. Additionally, BMI was used to categorise individuals into the World Health Organization (WHO) categories: < 18.5 kg/m<sup>2</sup>; 18.5 – 24.9 kg/ m<sup>2</sup>; 25 – 29.9 kg/m<sup>2</sup>,  $\geq$  30.0 kg/m<sup>2</sup>.<sup>18</sup>

#### **Geriatric assessment dimensions**

The 18-item form of the Mini Nutritional Assessment (MNA<sup>®</sup> full form) was administered to assess nutritional status.<sup>19–21</sup> MNA was developed to identify malnutrition defined as 'a state resulting from lack of intake or uptake of nutrition leading to diminished physical and mental function and impaired clinical outcome from disease',<sup>22</sup> not to identify overnutrition or excess weight. The MNA version that uses calf circumference instead of BMI was employed when the latter was not available (missing weight).<sup>23</sup> MNA total score, individuals were classified as follows: normal nutritional status (24 – 30 points), at risk of malnutrition (17 – 23.5 points), or malnourished (< 17 points).

Cognitive function was assessed by the Mini Mental State Examination (MMSE).<sup>24</sup> Maximum score is 30 points. The Portuguese cut-offs for cognitive impairment were applied, according to the number of years the participant attended school: 0 years  $\leq$  15 points; 1 – 11 years  $\leq$  22 points; > 11 years ≤ 27 points.<sup>25</sup> When subjects were classified as cognitively impaired (n = 192/1120), interviewers only collected data about demographic and socioeconomic characteristics, and nutritional status (anthropometry and MNA). The 15-item version of the Geriatric Depression Scale (GDS-15) was used to evaluate symptoms of depression.26 The final score ranges from zero to 15, and, in this study, a score below five indicated the presence of symptoms of depression.<sup>27</sup> In order to assess functional status to perform instrumental activities of daily living, the Lawton scale was administered.<sup>28</sup> It includes eight items assessing cognitive and/or physical function and the total score ranges from zero to eight. In the present study, a score below eight was applied to classify individuals as having functional limitations to conduct IADLs. Additionally, UCLA Loneliness scale was used to study the subjective feelings of loneliness.<sup>29</sup> In this scale, scores range from 16 to 64 points (higher values indicating higher feelings of loneliness or social isolation). Following the cut-off studied for the Portuguese older population, a score above 32 determined the presence of loneliness feelings.30

MNA, MMSE, GDS-15, Lawton scale and UCLA Loneli-

ness scale are widely used instruments, both in research and clinical practice. As already mentioned, they are also part of the CGA (except Loneliness scale) proposed by the Portuguese Society of Internal Medicine.<sup>12</sup>

## **Statistical methods**

Statistical analyses were performed using IBM/SPSS® version 24, and the statistical significance level of 5% was used. The normality of the distributions was assessed through the Kolmogorov-Smirnov test together with visual inspection of normality plots. Data were weighted according to the distribution of the Portuguese population, and the probability of selection of the healthcare unit and the individual in each unit, as detailed elsewhere.14 For demographic and socioeconomic characterisation, data were not weighted, and results are presented as frequencies (%) or mean and standard deviation (sd). Complex sample analyses take into account both weighting and design effect, and were therefore used here to characterise the population in terms of general health status and geriatric assessment dimensions. These results are generally presented as estimates and corresponding 95% confidence intervals (95% CI). Additionally, for categorical variables, between-groups differences, namely between women and men, were assessed using chi-square test. Furthermore, a test of Model effects (Wald F test) was performed to compare men and women in terms of BMI.

## RESULTS

## Sample characterisation

Overall, 1120 individuals accepted to participate in the present study. Almost half (49.0%) of the sample were women and 21.3% were aged 85 and over (Table 1). The mean age was 76.0  $\pm$  8.2 years for women, and 75.9  $\pm$  8.1 years for men. Concerning marital status, 31.0% of this sample were widowed, and 59.2% were married or living together. Moreover, 23.4% never attended school, while the highest educational level for 50.9% was primary school. When considering income, 17.9% of the sample reported a monthly income of less than 485€.

#### **General health status**

Among the Portuguese population of community-dwellers aged 65 and over, it was estimated that 73.8% (95% CI: 69.0 – 78.1) had at least one disease that required regular healthcare (e.g. medical appointments or periodic treatments, Table 2). Concerning the most frequently self-reported clinical diagnoses, hypertension was indicated by 65.0% (95% CI: 60.7 – 69.1), while dyslipidaemia was reported by 52.6% (95% CI: 47.7 – 57.4), 26.0% (95% CI: 22.2 – 30.1) presented a diagnosis of cardiac disease, and 25.9% (95% CI: 21.9 – 30.4) had diabetes type 2. These percentages were not significantly different between men and women.

Moreover, it was estimated that about half [48.9% (95% CI: 44.3 – 53.5)] of the population rated their own general health status as 'fair', while 25.0% (95% CI: 21.5 – 28.8) categorised it as 'poor' or 'very poor' (Table 2). Also, more

#### Table 1 – Demographic and socioeconomic characteristics of all participants

		Total n (%)	Women n (%)	<b>Men</b> n (%)
Sex		1120	549 (49.0)	571 (51.0)
Age (years)	65 – 74	561 (50.1)	280 (51.0)	281 (49.2)
	75 – 84	320 (28.6)	145 (26.4)	175 (30.6)
	≥ 85	239 (21.3)	124 (22.6)	115 (20.1)
Marital status	Single	48 (4.3)	35 (6.4)	13 (2.3)
	Divorced	62 (5.6)	26 (4.7)	36 (6.3)
	Widowed	346 (31.0)	239 (43.6)	107 (18.8)
	Married or living together	661 (59.2)	248 (45.3)	413 (72.6)
Educational level	Illiteracy	260 (23.4)	178 (32.7)	82 (14.5)
	Primary school (1st cycle)	566 (50.9)	241 (44.3)	325 (57.3)
	2 <sup>nd</sup> or 3 <sup>rd</sup> cycle	194 (17.5)	85 (15.6)	109 (19.2)
	High school or higher	91 (8.2)	40 (7.4)	51 (9.0)
Monthly income (€)	< 485	200 (17.9)	123 (22.4)	77 (13.6)
	485 – 970	382 (34.2)	169 (30.8)	213 (37.5)
	> 970	374 (33.5)	158 (28.8)	216 (38.0)
	Do not know / do not answer	160 (14.3)	98 (17.9)	62 (10.9)

Table 2 – Health-related characteristics of the Portuguese population aged 65 and over living in the community

		<b>Total</b> % (95% CI)	<b>Women</b> % (95% CI)	<b>Men</b> % (95% CI)	p value †
Having diseases that need regular healthcare (% yes)		73.8 (69.0 – 78.1)	74.0 (67.4 – 79.7)	73.6 (68.2 – 78.4)	0.914
Clinical diagnoses (% yes)	Cardiac disease	26.0 (22.2 - 30.1)	26.5 (21.3 – 32.6)	25.3 (20.5 - 30.7)	0.857
	Cancer	13.1 (10.6 – 16.0)	13.8 (10.3 – 18.1)	12.2 (8.9 – 16.5)	0.694
	Diabetes type 2	25.9 (21.9 – 30.4)	24.3 (18.7 – 31.0)	28.0 (23.2 - 33.2)	0.554
	Hypertension	65.0 (60.7 – 69.1)	66.2 (59.7 – 72.2)	63.5 (57.8 – 68.8)	0.496
	Dyslipidaemia	52.6 (47.7 – 57.4)	55.3 (48.9 – 61.5)	49.1 (43.1 – 55.1)	0.205
	Gastrointestinal disease	15.7 (11.9 – 20.4)	16.9 (11.8 – 23.5)	14.2 (10.2 – 19.4)	0.321
	Arthritis	11.0 (8.3 – 14.5)	13.7 (9.7 – 19.1)	7.6 (5.0 – 11.5)	0.039*
	Osteoporosis	14.5 (10.6 – 19.4)	23.5 (17.2 – 31.2)	2.9 (1.5 – 5.5)	< 0.001*
Self-reported health	Excellent	3.1 (2.0 – 4.9)	2.1 (1.0 – 4.6)	4.4 (2.4 – 7.9)	
	Good	23.0 (20.0 - 26.4)	19.6 (15.4 – 24.5)	27.4 (22.8 – 32.6)	
	Fair	48.9 (44.3 – 53.5)	45.1 (37.9 – 52.6)	53.6 (48.2 – 58.9)	< 0.001*
	Poor	17.0 (13.9 – 20.7)	23.2 (18.2 – 29.2)	9.1 (6.6 – 12.4)	
	Very poor	8.0 (5.9 – 10.7)	9.9 (6.8 – 14.1)	5.5 (3.3 – 9.0)	
BMI categories, kg/m²	< 18.5	0.6 (0.2 – 1.5)	0.6 (0.2 – 2.1)	0.6 (0.1 – 2.3)	
	18.5 – 24.9	20.8 (17.6 – 24.3)	20.3 (15.5 – 26.1)	21.4 (17.8 – 25.5)	0.007*
	25 – 29.9	41.9 (37.9 – 46.0)	36.9 (31.0 – 43.3)	48.8 (44.7 – 52.9)	0.007*
	≥ 30.0	36.7 (32.8 – 40.9)	42.2 (35.9 – 48.7)	29.3 (24.8 - 34.2)	
MNA full form categories	Malnutrition	0.5 (0.2 – 1.7)	0.9 (0.3 – 2.8)	0.1 (0.0 – 0.6)	
	Risk of malnutrition	16.4 (13.3 – 19.9)	20.4 (15.8 – 26.1)	10.8 (8.0 - 14.5)	< 0.001*
	Normal nutritional status	83.1 (79.2 – 86.4)	78.7 (72.6 – 83.7)	89.1 (85.5 – 92.0)	

CI: confidence interval; MNA: Mini Nutritional Assessment; BMI: body mass index

\*p < 0.05; † Chi-square statistic to compare between women and men. Significance based on adjusted F and degrees of freedom (complex sample analysis)

women than men described their health as 'poor' (22.9% vs 9.8%, p < 0.001). The mean BMI was significantly higher for women (29.2 vs. 28.2 kg/m<sup>2</sup>, p = 0.017). Overall, in 41.9%

(95% CI: 37.9 – 46.0), BMI was between 25 – 29.9 kg/m², and 36.7% (95% CI: 32.8 – 40.9) presented a BMI above 30 kg/m².

## Geriatric assessment dimensions

Regarding nutritional status, the mean MNA score was 26.2 (95% CI: 26.0 – 26.5) points. Both 'malnutrition' and 'risk of malnutrition' were significantly more prevalent among women [0.9% (95% CI: 0.3 – 2.8), and 20.4% (95% CI: 15.8 – 26.1), respectively] than men [0.1% (95% CI: 0.0 – 0.6), 10.8% (95% CI: 8.0 – 14.5), respectively, p < 0.001, Table 2]. Moreover, the prevalence of 'risk of malnutrition' was 25.2% (95% CI: 15.4 – 38.3) in the upper age group ( $\geq$  85 years), 21.8% (95% CI: 16.0 – 28.9) in those aged 75 – 84 years, and 10.5% (95% CI: 7.9 – 14.0) in the youngest group.

Concerning cognitive function, and following the MMSE cut-offs, the prevalence of cognitive impairment among the community-dwelling older adults was 17.7% (95% Cl: 12.8 – 23.9, Fig. 1). It was significantly higher in the oldest age group ( $\geq$  85 years old: 42.6% [95% Cl: 30.6 – 55.5]) than in the youngest [65 – 74 years: 6.9% (95% Cl: 4.2–11.0), p < 0.001]. The prevalence of cognitive impairment was also higher among women [20.2% (95% Cl: 13.9 – 28.4) vs 14.3% (95% Cl: 9.5 – 20.9)], but the difference was not statistically significant.

In total, it was estimated that 28.5% (95% CI: 23.7 - 33.8) of the population presented limitations to perform IADLs, and it reached 45.2% (95% CI: 19.1 - 74.1) in the oldest age group (Fig. 1). The frequency of this condition

**Cognitive function** 

was lower in women than men [26.1% (95% CI: 19.4 - 34.1) vs 31.7% (95% CI: 26.5 - 37.3)], though not significantly. The mean Lawton score was 7.4 (95% CI: 7.3 - 7.5), in a scale ranging from zero (severe limitations) to eight (absence of limitations).

Furthermore, symptoms of depression were reported by 23.5% (95% CI: 19.7 – 27.7, Fig. 1). The prevalence was twice in women than men [30.1% (95% CI: 24.1 – 36.9) *vs* 15.0% (95% CI: 11.6 – 19.3), p < 0.001]. The mean UCLA Loneliness scale score was 22.7 (95% CI: 21.9 – 23.4) points, and 13.6% (95% CI: 10.6 – 17.1) were categorised as having subjective loneliness feelings (Fig. 1). Again, this prevalence was much higher among women than men [16.6% (95% CI: 12.4 – 21.8) *vs* 9.5% (95% CI: 6.3 – 14.0), p = 0.018]. Additionally, there was a trend towards a higher prevalence of symptoms of depression and loneliness feelings among the oldest old compared to younger age groups, but these differences were not statistically significant.

## DISCUSSION

This study characterised the health status of the Portuguese population aged 65 and over, using standard clinical instruments, with sound psychometric properties, which allows for both a national epidemiologic picture and a comparison with other studies, as discussed next.



The more frequently reported chronic diseases among





Figure 1 – Characterisation of cognitive function, IADLs functional status, symptoms of depression and loneliness feelings of the Portuguese population aged 65 and over living in the community

community-dwelling older adults were hypertension and dyslipidaemia. Moreover, the prevalence of type 2 diabetes was 25.9% (95% CI: 21.9 - 30.4). These results are in line with those from the Portuguese cohort EpiDoC 2 (2013 - 15),<sup>31</sup> although in the present study the prevalence of hypertension is reasonably higher (65.0% vs 57.3%). Moreover, one guarter of the population classified their general health as 'poor' or 'very poor'. This finding is in line with a previous Portuguese study,32 and also with another study including three southern European countries (Spain, Portugal and Italy).33 When compared to the EU-28 pooled data from 2017, the frequency of poorer health found in the present study is higher in the case of women (33.1% vs 20.0 %), but similar for men (14.6% vs 16.5%).<sup>34</sup> In fact, apart from gender, also cultural, social and economic aspects seem to impact on self-perceived health.32,33

The prevalence of BMI  $\geq$  30 kg/m<sup>2</sup> (categorised as 'obesity' by WHO) in this study is slightly lower than the one reported by the Portuguese Health Examination Survey, INSEF 2015 (women: 42.2% vs 47.5%, men: 29.3% vs 35.1%, respectively).<sup>35</sup> This difference may be related to the fact that the latter included people up to 75 years, while the present study had no upper age limit, and that BMI tends to decrease with age, especially from the 80's onwards<sup>36</sup>. Data from ten European countries (not including Portugal) revealed an overall prevalence of obesity of 18.3% in men and 19.9% in women,<sup>36</sup> and yet it ranged from 15.8% in Sweden to 23.1% in Spain.<sup>36</sup> Even though a lower prevalence was expected in the European study because it included a younger population (aged 50 and over), it seems that Portugal really has a higher percentage of older adults with a BMI greater than 30 kg/m<sup>2</sup> when compared to other countries. However, BMI alone may not be a good indicator concerning health outcomes among older adults.<sup>37</sup> Despite being simple to measure and widely used, BMI may be overestimated in older people, as height decreases with age, while the percentage of fat mass increases and that of muscle mass decreases.<sup>38</sup> These modifications contribute to the general observation that the BMI range associated with lowest mortality is higher for older adults (e.g. 27 - 30 kg/m<sup>2</sup> for men, 30 - 35 kg/m<sup>2</sup> for women).<sup>37</sup> However, specific cut-offs for this age group are still not consensual. Therefore, in order to interpret BMI findings as the ones reported in the present study, the definition of excess weight and its health consequences in older ages requires further study.

Additionally, a set of geriatric assessment dimensions (nutritional status, cognitive function, IADLs functional status, symptoms of depression, and loneliness feelings) was evaluated. In this study, the prevalence of risk of malnutrition (16.4% vs 23.4%) and malnutrition (0.5% vs 2.1%) are lower compared to pooled data from studies conducted among community-dwelling older adults in Europe.<sup>39</sup> These dissimilarities may be related to participants' characteristics. For example, those with dementia, bedridden or not able to answer a long questionnaire were not assessed here, and they would be expected to have a worse nutritional status. On the contrary, a consistent finding was also observed in

the present study, a higher prevalence of malnutrition in the oldest old and women. It is unclear if gender differences are related to the social and economic context, age, widow-hood, gender inequality and/or physiological differences.<sup>40</sup>

Overall, it was estimated that 17.7% (95% CI: 12.8 -23.9) of the studied population presented an impaired cognitive function. A recent meta-analysis reported an adjusted prevalence of mild cognitive impairment of 14% (95% CI: 8% – 21%) in the community setting.41 Moreover, the percentage found in the present study was higher for women and much higher among those aged over 85, similarly to other studies.<sup>42</sup> A study conducted in Northern Portugal also found a higher prevalence of 'cognitive impairment no dementia' (CIND) in women.43 As observed in the present study, illiteracy is much more frequent among older women, which may partly explain a worse cognitive status when compared to their male counterparts. Regarding functional status, it was estimated that 28.5% (95% CI: 23.7 - 33.8) of the studied population had limitations for performing their instrumental daily living activities, and this percentage doubled in the  $\geq$  85 years old group. Thus, generally, this may be considered a rather self-competent population for IADLs that becomes more functionally limited with age, as expected.44 Comparable to what was found here, data from Portuguese participants aged 50 and over included in the Survey of Health, Ageing and Retirement in Europe (SHARE) wave 4 (2010 - 2011) revealed that 27.8% presented no limitations in seven IADLs activities. This percentage was the lowest among the 16 European countries that were assessed.45

The prevalence of symptoms of depression observed here [23.5% (95% CI: 19.7 - 27.7)] is much more similar to the ones found in ten European countries participating in SHARE<sup>46</sup>, than the rate (11.8%) reported in a Portuguese cohort of older adults (EpiDoC 2).47 The prevalence in SHARE ranged from 19.9% in Sweden to 33.1% in France, with higher rates in Latin ethno-lingual countries, probably due to higher psychosocial adversities (e.g. economic impoverishment, disability, isolation, caregiving) that increase susceptibility to depression.48 It is noteworthy, though, that both SHARE and EpiDoC 2 used different instruments, and the first included younger subjects ( $\geq$  50 years old). Thus, comparisons should be made cautiously. The present study also assessed loneliness, which is generally understood as 'the discrepancy between a person's preferred and actual level of social contact'.8 Some authors argue that loneliness and social isolation should be part of the CGA, as they have negative effects on older adults' psychological and physical health.49 In total, it was estimated that 13.6% (95% CI: 10.6 - 17.1) of Portuguese older adults report loneliness feelings, similarly to other Portuguese and English studies.<sup>49,50</sup> Others observed higher rates, around 20% in US, for example.8 The prevalence of loneliness feelings found in the present study further supports the importance of screening for this condition and its inclusion in CGA. Once more, depression and loneliness were more frequent among women, as consistently described by others.8,46

Some points should be considered when interpreting the results presented in this study. First, persons not capable to understand and/or answer the questionnaires (e.g. due to dementia) or bedridden were excluded. Therefore, one may hypothesise that those in worse clinical condition were not evaluated. Second, data were self-reported, being subject to participants' interpretation and subjective judgement. On the opposite, anthropometric measures were not self-reported, but sometimes it was not possible to meet ideal conditions for measurement (e.g. estimation of height using hand length). As a strength, this community-based study presents prevalence estimates on relevant geriatric assessment dimensions concerning the Portuguese older population, by sex and age group, and based on a national representative sample with no upper age limit. Also, standard methodology of assessment was followed. The employed instruments are widely used for screening, and the great majority are part of the Portuguese proposal for the geriatric assessment.

Comorbidities, cognitive impairment, disability, and malnutrition have been associated with poor survival, and moderate excess weight with better survival.<sup>51,52</sup> As a consequence, older adults' health status should be evaluated to implement effective interventions. Also, gender and age group differences should be considered as the ' $\geq$  65 years old' is a heterogeneous population. In the future it is crucial to monitor the health of the older population and compare it with data and information presented here.

## CONCLUSION

In the present study, it was observed that risk of malnutrition, cognitive impairment, functional limitations, symptoms of depression and loneliness feelings are moderately frequent among Portuguese adults aged 65 and over, and that the prevalence significantly increase with age. The growing number of older people is certainly changing society and provision of care as we knew them. Country-specific data is of utmost importance in order to develop national policies and adjust models of care that promote healthy ageing, like those supporting 'ageing in place' through communitybased care, for example.

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## **PROTECTION OF HUMANS AND ANIMALS**

This study was conducted according to the ethical standards laid down in the Declaration of Helsinki. All procedures involving human subjects were approved by the National Data Protection Committee, Academic Medical Centre of Lisbon (CAML) Ethics committee, and all seven Regional Health Administrations Ethics committees.

## DATA CONFIDENTIALITY

Data collection was also formally authorised by participating primary healthcare units. All data was inserted into a database in which each participant was represented by a non-identifiable code (anonymisation). Databases are maintained in encrypted files only accessible by the research team.

## PATIENT CONSENT

Written informed consent was obtained from all subjects. When risk of malnutrition or malnutrition were identified, participants received an informative form about their status and were advised by interviewers (nutritionists) to contact their medical doctors.

#### **COMPETING INTERESTS**

The authors declared they have no competing interests.

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